

To: Luk Fook Securities (HK) Limited / Luk Fook Futures (HK) Limited  
致: 六福證券(香港)有限公司 / 六福期貨(香港)有限公司

## Request Form For Change of Trading Account Type / Additional Trading Account(s) – Corporate Account 更改交易帳戶類別 / 新增交易帳戶表格 – 公司帳戶

Please tick "✓" where applicable 請在適當地方加上"✓" 號

### A. Request Type 要求類別

- Change of Trading Account Type and transfer all fund and stocks under my/our existing corporate account to new trading account (if applicable)  
更改交易帳戶類別及將本人/吾等在現有的公司交易帳戶的現金及股票轉至新交易帳戶內。(如適用)  
We hereby agree to cancel the existing account. 吾等同意取消原有帳戶
- Additional Trading Account(s)  
新增交易帳戶

Please select new Trading Account Type 請選擇新帳交易戶類別

Luk Fook Securities 六福證券

Cash 現金帳戶

Online Cash 電子現金帳戶

Margin 保證金帳戶

Online Margin 電子保證金帳戶

Stock Options 股票期權帳戶

Online Stock Options 電子股票期權帳戶

Luk Fook Futures 六福期貨

Futures 期貨帳戶

Online Futures 電子期貨帳戶

### B. Knowledge of Derivative Products 客戶對衍生產品的認識

- Client will/may trade any derivative products, and has knowledge in derivatives. Authorized Person ("AP") has read and fully understood the **Explanation of Risks Associated with Exchange-Traded Derivative Products** provided by Luk Fook Securities and will be willing to accept the risks associated with trading derivative products.  
客戶將會/或會進行買賣任何衍生產品，獲受權人已閱讀、明白及接受由六福證券 所提供之「就在交易所買賣的衍生產品所附帶的風險說明」，並願意承擔買賣衍生產品所附帶之風險。
- Client has executed 5 or more transactions in derivative products in the past 3 years, e.g. Derivative Warrants, Callable Bull/Bear Contracts, Stock Options, Futures & Options, and Exchange Traded Funds, etc.  
客戶於過去三年內曾執行5次或以上有關衍生產品的交易，例如：衍生權證、牛熊證、股票期權、期貨及期權、及交易所買賣基金等。
- AP of the Account had undergone training or attended courses related to derivative products.  
獲受權人曾接受有關衍生產品的培訓或修讀相關課程。
- AP has current or past work experience related to derivative products.  
獲受權人現時或過去擁有與衍生產品有關的工作經驗。
- Client DOES NOT WISH to trade any derivative products.  
客戶不會買賣任何衍生產品。

### C. Disclosure of Group Related Margin Clients 關連保證金客戶聲明

(for Margin Account only 只適用於保證金帳戶)

For the purpose of complying with the requirements of Financial Resources Rules ("FRR") in regards to "Group of Related Margin Clients"\*, We hereby declare that:  
為符合財政資源規則下有關一組關連保證金客戶\*的要求，吾等特此聲明：

- We do not have any related margin account(s) with Luk Fook Securities (HK) Limited.  
吾等並未於六福證券(香港)有限公司持有任何關連保證金戶口。
- If yes, please state Account No, Name and Relationship.:  
如有，請說明帳戶號碼、名稱及關係 \_\_\_\_\_

\* "Group of Related Margin Clients" as defined in FRR means: (a) where it is a group of two margin clients, one is the spouse of the other; (b) where one or more of the margin clients are corporations, one is in control, either alone or with his/her spouse, of 35% or more of the voting rights of that other margin client or each of the other margin clients; or (c) where the margin clients are corporations, they are members of the same group of companies.\* 財政資源規則所定義的「一組關連保證金客戶」是指：(a) 如該組只有2名保證金客戶，其中一名保證金客戶是另一名的配偶；(b) 如該等保證金客戶中有一名或多於一名是法團，其中一名保證金客戶單獨或與其配偶控制其餘的一名或每名保證金客戶的35%或以上的表決權；或 (c) 如該等保證金客戶均為法團，該等保證金客戶是同一公司集團的成員。

### D. Confirmation on Company Information 確認公司資料

As Compared to the existing record with Luk Fook Securities (HK) Limited / Luk Fook Futures (HK) Limited, there shall be no change in our company information in respect of:

本公司以下資料與現時於六福證券(香港)有限公司及/或六福期貨(香港)有限公司所持有之紀錄相比，並未有任何變更：

- |  |  |
|--|--|
| <input type="checkbox"/> Method of Communication<br>聯絡方式 | <input type="checkbox"/> Identity of Ultimately Responsible for Originating the Instruction in relation to a Transaction<br>最終負責發出交易指示的人士的身份 |
| <input type="checkbox"/> Corporate Structure<br>公司結構     | <input type="checkbox"/> Identity of Ultimate Beneficial Owner(s) of the Account<br>帳戶最終實益擁有人的身份   |
| <input type="checkbox"/> Payment Instruction<br>付款方式     | <input type="checkbox"/> Authorized Person(s) / Guaranteee<br>獲授權人/擔保人   |
| <input type="checkbox"/> Investment Objective<br>投資目標    | <input type="checkbox"/> Tax Residency<br>稅務居民身分   |

## E. Declaration and Acknowledgment 聲明及確認

I/We declare and acknowledge that: 本人/吾等聲明及確認:

1. I/We confirm that all information written on this form and the Account Opening Form submitted earlier is true, complete and correct, and that your company is entitled to rely fully on such information and representations for all purposes, unless you receive notice in writing of any change. You are authorized at any time to contact anyone, including my banks, brokers or any credit agency, for purposes of verifying the information provided in this application. I/We further confirm that any updated information provided in this form will supersede my/our relevant information previously provided to **Luk Fook Securities and/or Luk Fook Futures**. 本人/吾等確認此表格及早前遞交的開戶申請書所載之資料乃真實、完整及正確，除非 貴公司接獲關於任何變更的書面通知，否則 貴公司有權就一切目的全面信賴該等資料及聲明。貴公司獲授權隨時聯絡任何人士，包括本人的銀行、經紀或任何信用調查機構，以查對此申請表所提供之資料。本人/吾等同時確認此表格內所提供的更新資料將取代之前已向**六福證券及/或六福期貨**遞交的相關資料。

2. I/We have received from **Luk Fook Securities and/or Luk Fook Futures** the Client Agreement(s) including the Terms and Conditions for trading of **securities or futures**, Risk Disclosure Statements, Disclaimers (for Futures Account only) and the Circular to Clients relating to Personal Data (Privacy) Ordinance, and was/were invited to read, ask questions and take independent advice if I/we wish. 本人/吾等聲明本人/吾等已收悉**六福證券及/或六福期貨**客戶協議書，內附**證券或期貨**買賣之條款及細則、風險披露聲明、免責聲明（只適用於期貨帳戶）及關於個人資料（私隱）條例通告，並已獲邀閱讀、提出問題及尋求獨立意見如本人/吾等有此意願。

3. I/We have read and accepted the terms and conditions of the Agreement(s) relating to trading in **securities and/or futures**. I/We confirm my/our understanding of the terms and conditions of the Agreement, the Risk Disclosure Statements as set out in the Agreement(s) and the contents of the Agreement relating to trading in **securities and/or futures** have been duly explained to me/us in a language that I/we understand. 本人/吾等已詳閱並同意本合約內有關**證券及/或期貨**買賣的條款及細則。本人/吾等確認本人/吾等明白本合約內的條款及細則，以及本合約中列明的風險披露聲明書，而本合約中有關**證券及/或期貨**買賣的內容已按本人/吾等明白的語言向本人/吾等解釋清楚。

4. I/We have carefully considered the Risk Disclosure Statements and recognize that trading in securities and/or futures involves high risks. Considering my/our financial position and investment objectives, I/we confirm that I/we am/are financially able to assume such risks and to sustain any losses resulting from such trading and voluntarily confirm that such trading is a suitable trading vehicle for me/us. 本人/吾等已仔細考慮風險披露聲明，並了解進行證券及/或期貨買賣所涉及之高風險。考慮到本人/吾等的財務狀況和投資目標，本人/吾等確認本人/吾等之財政能承擔該等交易帶來之風險及承受其帶來之任何損失，亦自願確認該等交易是一項對本人/吾等合適的買賣方式。

5. I/We declare that I/we have the necessary power and authority to carry out the Agreement(s) in all aspects without limitation. 本人/吾等聲明本人/吾等擁有所需之能力和權力無限制地去執行本合約的各方面。

6. The natural person signing the Agreement(s) at the place provided for signature of the Client as well as all persons (if any) signing the Agreement(s) purportedly on behalf of the Client jointly and severally warrant that such signatory(ies) has/have full authority to enter into the Agreement on behalf of the Client and that the Agreement shall bind the Client as a corporation in all respect and shall indemnify and hold the Broker harmless from all loss arising out of or in connection with the Agreement in the event of any breach of this warranty. 本合約內在客戶簽署之位置上簽署的人士或所有代表客戶簽署合約的人士，在此共同地和個別地保證該簽署人擁有所需權力去代表客戶簽署本合約，本合約將對公司客戶具約束力，如本保證被違反而經紀因本合約招致損失或遭受與本合約有關的損失，上述簽署人應彌償經紀並使其不受損害。

7. Any of the above mentioned authorized signers is hereby authorized individually, without counter-signature or co-signature to deal with the Broker on behalf of the Client and specifically but without limitation to the following: 任何上述之獲授權簽署者特此個別地獲得授權，在毋須加簽或同簽的情況下，代表客戶透過經紀進行交易，具體地包括但不限於下列各項：

- to buy, sell or otherwise through the Broker deal in securities on margin or otherwise (including short selling), and/or deal in futures, options and commodities; 以保證金或其他方式（包括賣空）買賣證券或透過經紀以其他方式進行證券交易；及/或 買賣或以其他方式透過經紀進行期貨、期權及商品交易；
- to receive on behalf of the Client demands, notices, confirmations, reports, statements of accounts and communications of every kind; 代表客戶收取各種要求書、通知、確認書、報告、帳戶結單和通訊；
- to receive on behalf of the Client money, securities and property of every kind, and to dispose of the same; 代表客戶收取和變賣款項、股票和任何財物；
- to make on behalf of the Client any agreement relating to any of the foregoing matters and to terminate or modify the same or waive any of the provisions thereof; and 代表客戶就上述任何事宜作出任何協議，終止或修改該協議或免除其任何條款；及
- generally to deal with the Broker on behalf of the Client. 代表客戶一般地與經紀進行交易。

8. **[For Margin Account]** I/We have read and understood the content of the **Authorization for Client Securities** (as per ANNEX 1), i.e. the "Standing Authority" for Margin Account and am/are aware of the relevant risks in providing you with such authority. I/We understand that such authority will be renewed annually under the regulatory requirement and agree to be bound by its terms. **[適用於保證金帳戶]** 本人/吾等已閱讀及明白於附件一之「**客戶證券授權書**」的內容，即就保證金帳戶作出的「常設授權」，同時亦了解其相關的風險就所作出的授權。本人/吾等明白此授權會按照監管要求下每年續期，並同意接受該授權書內的條款所約束。

9. **[For multiple accounts]** I/We have read and understood the content of the **Authorization for Client Money** (as per ANNEX 2), i.e. the "Standing Authority" for fund transfers between 2 or more trading accounts. I/We understand that such authority will be renewed annually under the regulatory requirement and agree to be bound by its terms. **[適用於多個帳戶]** 本人/吾等已閱讀及明白於附件二之「**客戶款項授權書**」的內容，即就多個帳戶作出的「常設授權」，以利便客戶於其兩個或以上的交易帳戶內作資金調配。本人/吾等明白此授權會按照監管要求下每年續期，並同意接受該授權書內的條款所約束。

10. **[For Electronic Trading]** I/We have requested and authorized Luk Fook to generate and (if applicable) re-generate and deliver my/our password(s) for electronic trading by way of email to me/us at my/our email address(es) provided in the account application. I/We have read and understood, and agree to, the content of the **Authorization for Password Delivery by E-mail** (as per ANNEX 3). I/We have sought, or have had the opportunity to seek, independent legal advice concerning the content and effect of such authorization, and agree to solely bear the risks associated with. **[適用於電子交易]** 本人/吾等已要求和授權六福發出及重發（如適用）本人/吾等之網上交易密碼，及利用電郵傳遞往本人/吾等於開戶申請表上所提供之一個或多個電郵地址。本人/吾等已閱讀及完全明白及同意於附件三之「**經電郵傳遞交易密碼授權書**」之內容，及就該授權之內容及效力，已尋求或已有機會尋求獨立法律意見，並同意獨自承擔所選擇收取密碼的方式之關連風險。

11. I/We understand and agree that the provisions of the Terms and Conditions of the Agreement(s) and the Personal Data (Privacy) Ordinance Circular may be amended, supplemented or revised from time to time by the Broker by way of notice and I/we recognize that I/we may at any time ask for a copy of each of the up-to-date Agreement(s) and Personal Data (Privacy) Ordinance Circular for perusal. 本人/吾等明白和同意，本合約的條款及細則和個人資料（私隱）條例通告可被經紀不時以通知方式作出變更、補充或修改，本人/吾等明瞭本人/吾等可隨時索取一份經過更新的本合約和個人資料（私隱）條例通告。

12. I/We acknowledge and confirm that I/we must (i) observe any possible tax consequences and (ii) comply with any legal requirements and foreign exchange restrictions or exchange control requirements which might be applicable under the laws of the countries of my/our incorporation, citizenship, residence and/or domicile and which might be relevant to the purchase, holding or disposal of securities and/or assets underlying the contracts. 本人/吾等知悉及確認本人/吾等必須遵守本人/吾等登記註冊國、國籍國、居住國及/或居籍國的法律之下可能適用的與購買、持有或沽售證券及/或有關合約的資產相關的 (i) 可能產生的任何稅務後果及 (ii) 法律規定及外匯限制或管制規定。

Client Acknowledgement Signature(s) 客戶確認簽署:	
Name of Company 公司名稱  Was hereto affixed pursuant to its board resolution a certified true copy of which is attached hereto 已依據其董事會決議在此蓋章在此附上已經核證為真實的副本	(Please use signature filed with our Company 請用存檔於本公司記錄之簽署式樣)
Name of Authorized Signatory 獲授權人名稱	Authorized Signature(s) with Company Chop 獲授權簽名及公司印章
New A/C No. 新帳戶號碼 _____	Date 日期(DD/MM/YYYY): _____

Declaration by Licensed Representative 持牌代表聲明 (Applicable to new Margin Trading Account / Stock Options Account / Futures Trading Account 適用於新保證金交易帳戶 / 股票期權帳戶 / 期貨交易帳戶)	
<p>I have provided the Risk Disclosure Statements for dealing in <b>securities and/or futures</b> and fully explained the contents of the relevant risk disclosure statements (including the <b>Explanation of Risks Associated with Exchange-Traded Derivative Products</b> – applicable to Securities Account) to the above client at <b>Units 2201-2207 &amp; 2213-2214, 22/F, Cosco Tower, 183 Queen's Road Central, Hong Kong</b> / _____ / (address where the explanation took place) / through a recording-telephone in a language which the client fully understands and have invited the client to read the risk disclosure statements, ask questions and take independent advice if the client so wishes.</p> <p>本人已於<b>香港皇后大道中183號中遠大廈 22樓 2201-2207 及 2213-2214室</b> / _____ / (進行解釋的地址) / 透過錄音電話，按客戶明白的語言向上述客戶就買賣<b>證券及/或期貨</b>提供風險披露聲明書及全面解釋風險披露聲明(包括<b>就在交易所買賣的衍生產品所附帶的風險說明</b> – 適用於證券帳戶)的內容，並已邀請客戶閱讀該風險披露聲明書，提出問題及尋求獨立意見(如客戶有此意願)。</p>	
Signed by licensed representative 持牌代表簽署	CE Number 中央編號
Name of licensed representative (in block letters) 持牌代表名稱 (正楷)	Date 日期

For official use only 僅供職員填寫					
Acknowledged By Account Manager:		Signature Verified by:		Approved by :	

**To: Luk Fook Securities**

**Authorization for Client Securities**

(Standing Authority under the Securities and Futures (Client Securities) Rules)

This authority covers the securities or securities collateral received or held by you on my/our behalf. Unless otherwise defined, all the terms used in this Authorization shall have the same meanings as defined in the Securities and Futures Ordinance and the Securities and Futures (Client Securities) Rules as amended from time to time.

I/We authorize you to:

1. apply any of my/our securities or securities collateral pursuant to a securities borrowing and lending agreement;
2. deposit any of my/our securities collateral with an authorized financial institution as collateral for financial accommodation provided to you; or
3. deposit any of my/our securities collateral with
  - (i) a recognized clearing house; or
  - (ii) another intermediary licensed or registered for dealing in securities,as collateral for the discharge and satisfaction of your settlement obligations and liabilities.

You may do any of these things without giving me/us notice.

You are accountable to me/us for the return of equivalent securities deposited under this authority after full repayment by me/us of all my/our outstanding loans under the facility.

I/We understand that a third party may have a lien or charge on my/our securities or securities collateral, which you must satisfy before my/our securities or securities collateral can be returned to me/us.

I/We am/are aware that there is risk if I/we provide you with an authority that allows you to apply my/our securities or securities collateral pursuant to a securities borrowing and lending agreement, repledge my/our securities collateral for financial accommodation or deposit my/our securities collateral as collateral for the discharge and satisfaction of your settlement obligations and liabilities.

I/We acknowledge and agree that the authority shall be valid for a period of not more than 12 months and will expire at the end of not more than 12 months from the approval date of the account opening. I/We understand that the authority shall be deemed to be renewed for subsequent periods of not more than 12 months on a continuing basis without my/our written consent if you give me/us a written reminder at least 14 days prior to the expiry date and I/we do not object to such deemed renewal before the expiry date. I/We understand that I/we may revoke this authority by giving you at least five trading days' prior written notice. However, you may, in your absolute discretion, treat the revocation notice as having immediate effect upon your receipt.

In the event of any discrepancy between the English and Chinese version of this Authorization, I/we agree that the English version shall prevail.

I/We have read and understood the contents of this Authorization and agree to be bound by its terms.

**附件一**  
**(只適用於保證金帳戶)**

**致: 六福證券**

**客戶證券授權書**

(根據《證券及期貨(客戶證券)規則》作出的常設授權)

本授權書是關於 貴公司代表本人/吾等接收或持有的證券或證券抵押品。除另有說明外，在本授權書內的所有用語應具有經不時修訂的《證券及期貨條例》及《證券及期貨(客戶證券)規則》所定義的相同意思。

本人/吾等授權 貴公司：

1. 依據證券借貸協議運用本人/吾等的任何證券或證券抵押品；
2. 將本人/吾等的任何證券抵押品存放於認可的財務機構，作為提供予 貴公司的財務通融的抵押品；或
3. 將任何本人/吾等證券抵押品存放於 (i) 一家認可的結算所；或 (ii) 獲發牌或獲註冊進行證券交易的另一中商人，作為貴公司履行交收義務與責任的抵押品。

貴公司可毋須通知本人/吾等而進行上述各項。

當本人/吾等全數償還信貸安排下的所有未清償的貸款後，貴公司須負責向本人/吾等歸還在此授權下存放的相等證券。

本人/吾等明白，本人/吾等的證券或證券抵押品可能受制於第三者之留置權或押記，貴公司必須先行了結有關留置權或押記，方可將本人/吾等的證券或證券抵押品歸還本人/吾等。

本人/吾等知悉，本人/吾等若授權貴公司，准許貴公司依據證券借貸協議運用本人/吾等的任何證券或證券抵押品，或准許貴公司再質押本人/吾等的證券抵押品以取得財務通融，或准許貴公司存放本人/吾等的證券抵押品作為貴公司履行交收義務與責任的抵押品，如此授權是帶有風險的。

本人/吾等確認並同意，此授權有效期為不多於12個月，將由開戶申請獲批准後不多於12個月結束時屆滿。本人/吾等明白，若 貴公司在屆滿日之前至少14日給予本人/吾等續期通知書而本人/吾等在屆滿日之前沒有表示反對續期，則此授權將視為毋須本人/吾等書面同意而其後續期不多於12個月。本人/吾等明白，本人/吾等可給予 貴公司至少五個交易日的預先書面通知，撤銷此授權。但是，貴公司有絕對的情決定權將撤銷授權通知書視為於 貴公司收訖時隨即生效。

若本授權書的英文版本及中文版本在內容上有任何歧異，本人/吾等同意以英文版本為準。

本人/吾等已閱讀及明白本授權書的內容，並同意受本授權書的內容約束。

**To: Luk Fook Securities / Luk Fook Futures (“LF”)**

**Authorization for Client Money**

(Standing Authority under the Securities and Futures (Client Money) Rules)

This authority covers monies received or held by you in one or more segregated account(s) on my/our behalf (“Monies”). Unless otherwise defined, all the terms used in this Authorization shall have the same meanings as defined in the Securities and Futures Ordinance and the Securities and Futures (Client Money) Rules as amended from time to time. Segregated account(s) include any account(s) designated as client account(s) established and maintained in Hong Kong in accordance with the Securities and Futures (Client Money) Rules or account(s) designated as client account(s) established and maintained outside Hong Kong.

I/We authorize you to:

1. combine and consolidate any or all segregated accounts of my/our name maintained by you and/or any of your subsidiaries or affiliates from time to time, and transfer any sum of Monies to and between such segregated account(s) to satisfy my/our obligations or liabilities to LF, whether such obligations or liabilities are actual or contingent, primary or collateral, secured or unsecured, or joint or several; and
2. transfer any sum of Monies interchangeably between any of segregated accounts maintained at any time by LF in or outside Hong Kong.

You may do any of these things without giving me/us notice.

This authority is given without prejudice to other authorities or rights which you may have in relation to dealing in Monies in the segregated accounts.

I/We acknowledge and agree that the authority shall be valid for a period of not more than 12 months and will expire at the end of not more than 12 months from the approval date of the account opening. I/We understand that the authority shall be deemed to be renewed for subsequent periods of not more than 12 months on a continuing basis without my/our written consent if you give me/us a written reminder at least 14 days prior to the expiry date and I/we do not object to such deemed renewal before the expiry date. I/We understand that I/we may revoke this authority by giving you at least five trading days’ prior written notice. However, you may, in your absolute discretion, treat the revocation notice as having immediate effect upon your receipt.

In the event of any discrepancy between the English and Chinese version of this Authorization, I/we agree that the English version shall prevail. I/We have read and understood the contents of this Authorization and agreed to be bound by its terms.

(Remarks: This authority does not authorize you to transfer Monies between individual accounts and joint accounts. Any such transfer must be separately authorized by all holders of the relevant account to be debited.)

**附件二**  
(適用於多個帳戶)

**致：六福證券 / 六福期貨（「六福」）**

**客戶款項授權書**

(根據《證券及期貨(客戶款項)規則》作出的常設授權)

本授權書是關於貴公司代表本人／吾等在一個或多個獨立帳戶內收取或持有的款項（下稱「款項」）。除另有說明外，在本授權書內的所有用語應具有經不時修訂的《證券及期貨條例》及《證券及期貨(客戶款項)規則》所定義的相同意思。獨立帳戶包括根據《證券及期貨(客戶款項)規則》在香港境內開立及維持並指定為客戶帳戶的任何帳戶，或在香港境外開立及維持並指定為客戶帳戶的任何帳戶。

本人／吾等授權貴公司：

1. 組合及合併在貴公司及／或貴公司的任何附屬或相關聯公司不時維持的、以本人／吾等名義開立的任何或全部獨立帳戶，以及將任何數額的款項轉移至該等獨立帳戶或在該等帳戶之間作出轉移，以抵償本人／吾等對六福的義務或法律責任，不論該等義務或法律責任是確實還是或然的、原有或附帶的、有抵押或無抵押的、共同或各別的；及
2. 在六福於任何時候在香港境內或境外維持的任何獨立帳戶之間調動任何數額的款項。  
貴公司可毋須通知本人／吾等而進行上述各項。

此賦予貴公司的授權並不損害貴公司享有的有關處理獨立帳戶內款項的其他授權或權利。

本人／吾等確認並同意，此授權有效期為不多於 12 個月，將由開戶申請獲批准後不多於 12 個月結束時屆滿。本人／吾等明白，若貴公司在屆滿日之前至少 14 日給予本人／吾等續期通知書而本人／吾等在屆滿日之前沒有表示反對續期，則此授權將視為毋須本人／吾等書面同意而接續地續期，每次續期期間為不多於 12 個月。本人／吾等明白，本人／吾等可給予貴公司至少五個交易日的預先書面通知，撤銷此授權。但是，貴公司有絕對酌情決定權將撤銷授權通知書視為於貴公司收訖時隨即生效。

若本授權書的英文版本及中文版本在內容上有任何歧異，本人／吾等同意以英文版本為準。本人／吾等已閱讀及明白本授權書的內容，並同意受本授權書的內容約束。

(備註：此授權並不適用於在個人帳戶及聯名帳戶之間轉移款項。)

**To: Luk Fook Securities / Luk Fook Futures (“LF”)**

**Authorization for Password Delivery by E-mail**

I/We, the undersigned Applicant/Client, hereby request and authorize LF to generate and (if applicable) re-generate and deliver my/our password(s) for electronic trading (“PW”)\* by way of email to me/us at my/our email address(es) provided in the Account Application submitted by me/us to LF (“specified email address(es)”)\*\*.

I/We acknowledge that there are risks associated with my/our above selected means of receiving the PW and agree to solely bear all such risks, including (without limitation) the risks of transmission error, delay, unauthorized disclosure and unauthorized use in respect of the PW. I/We acknowledge and agree that once a PW is deemed receipt\*\*\* by me/us, I/we shall be the only authorized user(s) of such PW. I/We shall be solely responsible for the confidentiality, protection and use of the PW. I/We acknowledge and agree that I/we shall be solely responsible for all instructions/offers placed by using the PW (including all transactions and actions that occur or are taken subsequent to or as a consequence of such instructions/offers) and LF shall not have any liability to me/us or any third party for any loss, damages, expense, cost, claim or liability of whatsoever nature, directly or indirectly, arising out of or in connection with any such instruction/offer and/or the handling, inaccurate or incomplete transmission, delay in transmission, loss or loss of confidentiality, of the same. Further, I/we agree at all times on demand to indemnify and keep indemnified LF from and against all liabilities costs and expenses of any nature whatsoever reasonably incurred by it arising from or in any way related to its reliance and/or acting on this Authorization (including any email address provided by me/us).

If I/we give an instruction/offer to LF outside Hong Kong, I/we agree to ensure and represent that such instruction/offer will have been given in compliance with any applicable law of the relevant jurisdiction from which such instruction/offer is given, and I/we further agree that I/we shall, when in doubt, consult legal and other professional advisers of the relevant jurisdiction. I/We accept that there may be taxes or charges payable to relevant authorities in respect of any instruction/offer given outside Hong Kong, and I/we agree to pay such taxes or charges as applicable. I/We acknowledge that this Authorization will become effective on the date of LF’s approval of sending the PW in accordance with the terms hereof, which approval may or may not be given by LF in its absolute discretion.

\* I/We acknowledge and agree that I/we am/are required, immediately upon receipt, to change each PW to a unique and hard-to-duplicate one.

\*\* This Authorization shall apply to each subsequent request by me/us for regenerating PW and I/we agree that LF may send PW to the specified email address(es) and/or such other email address(es) as subsequently notified by me/us to LF in accordance with the notice provisions contained in the Client Agreement(s) And Schedules (as amended from time to time)(“Client Agreement(s)”) I/We consent that LF may use any or all of the specified email address(es) and/or such other email address(es) subsequently so notified by me/us to LF as aforesaid for the purpose(s) contemplated under the Client Agreement(s) (e.g. giving other information, documents or notices to or communicating with me/us).

\*\*\* I/We acknowledge and agree that each PW shall be deemed to have been received by me/us immediately upon despatch.

This Authorization shall form part of the Client Agreement(s). In this Authorization, unless otherwise stated in the context hereof, words and expressions defined in the Client Agreement(s) shall have the same meanings herein; and terms in the singular shall include the plural and vice versa. If the undersigned Applicant/Client comprises more than one person, such persons shall be jointly and severally responsible for all liabilities of the undersigned Applicant/Client hereunder. In case of any inconsistency between this Authorization and the Client Agreement(s), insofar as the subject matter hereof is concerned, this Authorization shall prevail but only to the extent of such inconsistency. Should there be any inconsistency between the English and Chinese versions of this Authorization, the English version shall prevail.

I/We fully understand and agree the contents of this Authorization and have sought, or have had the opportunity to seek, independent legal advice concerning the contents and effect of this Authorization.

**附件三**  
**(只適用於電子交易服務)**

**致：六福證券 / 六福期貨 (「六福」)**

**經電郵傳遞交易密碼授權**

本人/吾等，乃以下簽署之申請人/客戶，現要求並授權六福 發出及重發 (如適用) 本人/吾等之網上交易密碼 (「密碼」)\*及利用電郵傳遞往本人/吾等於本人/吾等交予六福之開戶申請表上所提供之一個或多個電郵地址「(指定電郵地址)」\*\*。

本人/吾等確認上述所選擇收取密碼的方式有其關連風險，並同意獨自承擔所有該等風險，包括(但不限於)關於密碼之傳輸錯誤、延遲、未獲授權披露及使用之風險。本人/吾等確認並同意一旦密碼被視為已被本人/吾等收取\*\*\*，本人/吾等即為密碼的唯一獲授權使用者。本人/吾等須對密碼的保密、保護及使用承擔全部責任。本人/吾等承認及同意，本人/吾等須對使用密碼發出的所有指示/要約負全責 (包括所有該等指示/要約其後所或因按該等指示/要約而執行或發生之交易及行動)，同時，直接或間接由於或關於任何該等指示/要約及/或其處理、不準確或不完整傳輸、延遲傳輸、遺失或失卻保密性而產生之任何損失、損害賠償、費用、支出、申索或責任 (不論任何性質)，六福 皆不會對本人/吾等或任何第三方負責。再者，本人/吾等同意時刻按六福 要求彌償六福 因或任何情況下相關於其依賴此授權(包括本人/吾等提供之任何電郵地址)及/或據其行事而\合理地招致之所有責任、費用及開支 (不論任何性質)，並確保六福 免受損害。

若本人/吾等在香港以外地區向六福 發出任何指示/要約，本人/吾等同意確保及陳述並聲明，該指示/要約將已遵守該指示/要約發出所在的相關司法管轄區的任何適用法律。本人/吾等再進一步同意：本人/吾等有疑問時，會諮詢相關司法管轄區的法律及其他專業顧問。本人/吾等接受在香港以外地區發出的指示/要約，可能需向相關當局支付相關稅項或費用，本人/吾等同意支付該等適用稅項或費用。本人/吾等確認此授權將於六福 批准根據本文條款發出密碼之日生效，而六福 具酌情權予以決定是否作出該批准。

\* 本人/吾等確認並同意：本人/吾等必須於收到密碼時立即更改密碼使之成為一獨特並難以模仿的密碼。

\*\* 此授權將適用於本人/吾等嗣後每次要求重發密碼之要求，及本人/吾等同意：六福 可將密碼傳遞往任何一個或多個或所有指定電郵地址及/或本人/吾等其後按客戶協議書及附件(及其/其等不時修訂版) (「客戶協議」) 內載的通知條文通知六福 的一個或多個其他電郵地址。本人/吾等同意六福 可使用一個或多個或所有指定聯絡手機號碼及/或本人/吾等其後如上通知六福 的一個或多個電郵地址作客戶協議所預期之一種或多種用途 (例如給予本人/吾等其他資料、文件或通知或與本人/吾等通訊)。

\*\*\* 本人/吾等確認並同意在每一個密碼發出時，該密碼將立即被視為已被本人/吾等收取。

此授權將成為客戶協議之一部份。於此授權內，除非本文另有所指，客戶協議內定義之詞語將於此具相同解釋，而凡指單數之詞語，其釋義將包含眾數，反之亦然。若以下簽署之申請人/客戶乃多於一人，該等人士將共同及各別負責以下簽署之申請人/客戶於本文項下之所有責任。倘若客戶協議與此授權有任何不同之處，就本文標的事宜而言，當以此授權為準但只限該不同之處。若此授權之中、英文版本有任何不同之處，當以英文版本為準。

本人/吾等完全明白及同意此授權之內容及就此授權之內容及效力，已尋求或已有機會尋求獨立法律意見。